

**Amendments to the Specification:**

Please replace each of the paragraphs referenced below by page and line number(s) with the text following the reference to the page and line number(s) of the paragraph.

**Page 2, lines 12-18:**

Although the invention is not limited to application in a forwards trading system, or an electricity forwards trading system, the preferred application is in an electricity forwards trading system, e.g., the a BLOOMBERG POWERMATCH® System system (BLOOMBERG POWERMATCH is a registered service mark of Bloomberg L.P.) ~~owned by Bloomberg L.P.~~ Similarly while the invention is not limited to an anonymous trading system, the preferred application is to an anonymous trading system, e.g., the BLOOMBERG POWERMATCH® ~~System system~~, where the identity of the parties negotiating a trade is anonymous up to the time that the trade is made.

**At page 17, lines 3-15:**

One embodiment of a system such as the one described above is currently ~~owned and operated~~ distributed by the assignee of the present invention, Bloomberg L.P. In this embodiment, the communications network 24 includes a router network 25 which determines the particular host computer 26 responsible for supporting the particular application currently running on a particular user station 22. Accordingly, the router network 25 routes communications from user stations 22 to the appropriate host computer 26. In the Bloomberg system distributed by Bloomberg L.P., the communications network 24 includes an intranet and/or extranet network accessible by

authorized users. The part of the system 20 which is used to trade electricity products is currently in use under the service mark BLOOMBERG POWERMATCH<sup>®</sup>, also referred to herein simply as a BLOOMBERG POWERMATCH<sup>®</sup> system 40. Fig. 2 shows the BLOOMBERG POWERMATCH<sup>®</sup> system 40 portion of the trading and information system 20. In one embodiment, the host computer 26 for the BLOOMBERG POWERMATCH<sup>®</sup> system 40 (BLOOMBERG POWERMATCH<sup>®</sup> host computer) is a relatively large server available, for example, from Data General.

**At page 18, lines 16-20:**

- EFMP The is a setup function, which is used to maintain region, firm, user and enablement databases. This function can also be used to broadcast a message to all traders. The EFMP functions are performed by execution screen event handlers (AEBIG) 66 (Figs. 2 and 3) preferably implemented as software routines and processes 72 (Fig. 2) within the BLOOMBERG POWERMATCH<sup>®</sup> host computer 26.

**At page 18, lines 21-22:**

The BLOOMBERG POWERMATCH<sup>®</sup> host computer 26 manages and supports the following databases (Fig. 2).

**At page 21, lines 7-17:**

Fig. 3 illustrates a process flow for trades in the BLOOMBERG POWERMATCH<sup>®</sup> system 40. All BLOOMBERG POWERMATCH<sup>®</sup> system functions are run on the BLOOMBERG POWERMATCH<sup>®</sup> host computer 26, which includes an incoming request

queue 64, auto execution screen event handlers ("AEBIG") 66, trade servers 68 implementing the EMON functions and a blotter server 70 implementing the EORD and ETRD functions. As mentioned, the AEBIGs 66 are preferably implemented as software routines executed on the host computer 26. Similarly, the incoming request queue 64 is preferably implemented as software routines on the host computer 26. The trade servers 68 and the blotter server 70 include volatile memory (not shown). As shown in Fig. 2, the ~~BLOOMBERG POWERMATCH~~<sup>®</sup> host computer 26 includes volatile memory 28 and the BLOOMBERG POWERMATCH<sup>®</sup> system databases 30, more particularly referred to as databases 42-58.

**At page 21, line 18 to page 22, line 12:**

Referring to Figs. 2 and 3, a user station 22 running a trading system client application, in accordance with the present invention, forwards a request to the communications network 24. Routers, in a router network 25 portion of the communication network 24, determine the particular host computer 26 responsible for supporting (i.e., serving) the client application running on the requesting user station 22, and route the request to the appropriate host computer 26. User requests from a user station 22 running the BLOOMBERG POWERMATCH<sup>®</sup> client application that arrive at the ~~BLOOMBERG POWERMATCH~~<sup>®</sup> host computer 26 are queued in request queue 64 and are distributed between several instances of the AEBIG 66 for processing. All EMON activities in the queue are passed to an appropriate trade server 68. Each trade server 68 may support one or more of the trading regions (e.g., nine) in the system 40. The EFMP enablement functions are handled directly by an instance of the AEBIG 66, as shown in Fig. 3. EORD and ETRD events are handled by blotter server 70. The

trade servers 68 update the order, order transaction and trade databases, 52, 54 and 56, respectively. The trade servers 68 also report all order and trade updates to the blotter server 70. Communication among the AEBIGs 66, the trade servers 68 and the blotter server 70 are achieved through conventional means such as, for example, with TCP/IP socket connections.

**At page 25, lines 17-24:**

The counterparty enablement process is performed by EFMP functions of the BLOOMBERG POWERMATCH<sup>®</sup> host computer 26. A record is provided in the enablement database 48 for every counterparty pair. Each record contains values, preferably implemented as four 32-bit fields, representing a first party buy field (32 bits), a first party sell field (32 bits), a second party buy field (32 bits), and a second party sell field (32 bits). Each bit of each of the 32 bit fields represents a particular month. For example, a bit 0 represents the current or spot month, and bits 1-31 represent 31 months in the future.

**At page 38, line 14 – page 39, line 5:**

Referring again briefly to Figs. 2 and 3, the user station 22 running the BLOOMBERG POWERMATCH<sup>®</sup> client application transmits requests, order data and other selected information to the communications network 24. The communications network 24 routes these transmissions, in cooperation with the router network 25 portion of the communications network 24, to BLOOMBERG POWERMATCH<sup>®</sup> host computer 26. User requests arriving at the BLOOMBERG POWERMATCH<sup>®</sup> host computer 26 are queued in the request queue 64. The request queue 64 distributes the requests, in accordance with a predetermined service protocol, to one or more

execution screen event handlers (AEBIGs) 66 for processing. All requests for the EMON activities described above are forwarded by an AEBIG 66 to an appropriate one of the trade servers 68. The trade servers 68 are programmed to check for specific events such as, for example, an order that "crosses the market" and to respond accordingly. As required, the trade servers 68 update the order database 52. The appropriate screen information responsive to a request or selection of a particular user is processed by one of the AEBIGs 66 from information supplied by a trade server 68 and forwarded back to the appropriate user station 22.

**At page 75, lines 1-23:** (Abstract) (A clean Abstract on a separate page is attached)

#### ~~ELECTRONIC TRADING SYSTEM FOR ELECTRICITY FORWARDS~~

#### ABSTRACT OF THE DISCLOSURE

A trading system and method for trading forwards among a plurality of parties is presented. ~~The system and method include a plurality of user stations, at least one user station for each of the parties, and a central computer coupled in a communications network. The central computer processes trading orders between the parties. Among the features that the~~ The system implements are the following features: counterparty enablement by forward term and/or region; ~~flashing information relating to trades that is displayed on user station in different colors depending upon the source of the information; a sliding ticker display on each monitor for displaying a change in a market best price (bid/offer) of a security or a notification that a trade occurred; a selectable area on the monitor for incrementing or decrementing a selected bid or offer value; a user selectable area on the monitor for executing again a trade that was just~~

executed; a sweep trading feature for permitting a trader to sequentially aggress on a series of orders in the same forward; a spread-trading feature for allowing traders to directly trade on the difference between bid prices and offer prices for corresponding orders on a primary and secondary leg/link; providing a feature for joining orders wherein a trader may add a new bid or offer that has the same terms (e.g., price and quantity) of a perceived market best bid or offer; and ~~enabling a user to temporarily suspend all of the user's orders without deleting the orders and to reactivate the orders without re-entering data pertaining thereto;~~ enabling a party to make multiple bids and offers for the same forward within the same term at varying pricing; ~~to maintain anonymity of the parties, disabling an entire firm from trading for a set period of time after a counterparty enablement setting is changed by a user in that firm.~~